

PATRICK HOLMES

Principal areas of practice

Class actions, commercial, contracts, corporations law, equity and trusts, financial services and securities law, insurance, professional negligence, property, trade practices and consumer law.

Admissions and qualifications

Entitled to practise as a barrister in all Australian jurisdictions

Admitted as a barrister (New South Wales Bar)	2011
Admitted as a legal practitioner , Supreme Court of NSW and High Court of Australia	2000
Bachelor of Laws; Bachelor of Arts (major: history) , University of New South Wales	1998

Previous experience

Solicitor at Allens Arthur Robinson (now Allens), Sydney, in the positions of:	2000 – 2011
• Senior Associate, Commercial Litigation & Dispute Resolution Group	2004 – 2011
• Lawyer, Commercial Litigation & Dispute Resolution Group	2001 – 2004
• Lawyer, Corporate & Commercial Group	2000 – 2001

Recent and selected matters as a barrister (counsel)

Matter	Briefed by; acting for; briefed with (if applicable)	Subject matter / issues
Class actions, commercial and equity (see also corporations, financial services, market misconduct below)		
<i>Clark v National Australia Bank Ltd & Anor</i> (class action) (Federal Court, ongoing)	Briefed by King & Wood Mallesons For NAB With D Thomas SC	Class action re consumer credit insurance; alleged unconscionable conduct and misleading or deceptive conduct in breach of ASIC Act 2001 ss 12CB and 12DA
<i>Apache Finance Pty Ltd & Ors v Quadrant Energy Pty Ltd & Anor</i> [2018] WASC 68 (trial of separate issue) (WA Supreme Court, ongoing)	Briefed by Clyde & Co LLP For plaintiffs With S Anderson QC	Alleged breaches of share purchase agreement relating to sale and purchase of a natural gas mining, processing and marketing company
<i>Australian Style Holdings Pty Ltd v Brookfield Multiplex Capital Management Ltd</i> (NSW Supreme Court, settled)	Briefed by King & Wood Mallesons For first defendant With J Williams	Alleged breaches of duty under Corporations Act 2001 s 601FC, fiduciary duties and equitable duties of trustee

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<i>Dillon v RBS Group (Australia) Pty Ltd (No 2)</i> [2018] FCA 395 (Navra class action) (Federal Court, settled shortly before trial)	Briefed by King & Wood Mallesons For respondents With P Brereton SC, D Thomas	Alleged breaches of statutory warranties, negligence, misleading conduct and unconscionable conduct in relation to issue of instalment warrants
<i>Asahi Holdings (Australia) Pty Ltd & Anor v Pacific Equity Partners Pty Ltd & Ors</i> (Federal Court, settled part heard)	Briefed by Allens For Unitas respondents With P Jopling QC, M O'Bryan QC, M Borsky	Alleged misleading and deceptive conduct; breaches of warranty; subrogation; included appearing on successful application to join applicants' warranty insurers
<i>Donau Pty Ltd v ASC AWD Shipbuilder Pty Ltd</i> (NSW Supreme Court)	Briefed by McCabes For plaintiff With R McHugh SC, D Thomas	Alleged breach of contract, unconscionable conduct, quantum meruit in relation to a subcontract for the construction of warships
<i>Archer Capital 4A Pty Ltd atf Archer Capital Trust 4A & Ors v The Sage Group plc</i> (Federal Court)	Briefed by Allens For Archer Capital 4A Pty Ltd	Alleged breach of contract; briefed to advise and assist in relation to discovery dispute and preparation of certain witnesses
<i>The Product Makers (Australia) Pty Ltd v Holista Colltech Limited</i> (Federal Court, settled)	Briefed by HWL Ebsworth For respondent Unled	Alleged misleading or deceptive conduct through ASX announcements and loss to trade competitor
<i>Top Stuff 4 Business Holdings Pty Ltd v Vodafone Pty Ltd</i> (Federal Court, settled)	Briefed by Allens For Vodafone Pty Ltd With N Kidd SC	Alleged breach of contract and misleading and deceptive conduct
<i>Oxygen Mobile Pty Ltd v Vodafone Pty Ltd; Besyl Pty Ltd v Vodafone Pty Ltd</i> (Federal Court, settled)	Briefed by Allens For Vodafone Pty Ltd With N Kidd SC	Alleged misleading and deceptive conduct
<i>Commonwealth of Australia (Department of Defence)</i> (referral to expert determination)	Briefed by DLA Piper For the Commonwealth With E Collins SC	Series of references for expert determination concerning disputes with the contractor relating to various major military procurement and maintenance contracts
<i>Public Transport Ticketing Corporation v Integrated Transit Solutions Ltd</i> (NSW Supreme Court and Court of Appeal)	Briefed by Allens For the plaintiff (PTTC) With P Durack SC and S Free	Alleged breach/repudiation of contract, estoppel, good faith, complex damages issues, public interest immunity; briefed for several months to advise, prepare and appear at trial and in relation to an interlocutory appeal

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<i>Ford Motor Company of Australia Limited v Gojanovic</i> (NCAT, settled part heard)	Briefed by Ford directly Unled	Alleged breaches of Australian Consumer Law consumer guarantees; appeal on questions of law
<i>Idameneo (No 123) Pty Ltd v Huang</i> (NSW Supreme Court, settled)	Briefed by King & Wood Mallesons For the plaintiff Unled	Alleged breach and/or repudiation of contract
<i>Idameneo (No 123) Pty Ltd v Bassi</i> (NSW Supreme Court, settled)	Briefed by King & Wood Mallesons For the plaintiff	Alleged breach and unlawful termination of contract
<i>Optus Networks Pty Ltd & Anor v Programmed Maintenance Services Ltd</i> (NSW District Court, settled)	Briefed by Corrs Chambers Westgarth For the defendant Unled	Alleged breach and/or repudiation of network services contract
<i>Morgan Stanley Private Equity Asia III Holdings (Cayman) Ltd v XYZ Learning Centres Ltd (Recrs & Mgrs apptd) (In Liq)</i> (NSW Supreme Court, settled part heard)	Briefed by Watson Mangioni For the defendant With A Payne SC and R Foreman	Alleged misleading and deceptive conduct; breach of contract
<i>Sukkar v Westpac Banking Corporation</i> (NSW District Court, settled at mediation)	Briefed by Kemp Strang For Westpac Banking Corporation Unled	Alleged breach of contract; unconscionable conduct; negligence; electronic banking
<i>RJ and AP Turnbull v RJ and GW Turnbull</i> [2017] NSWSC 1316	Briefed by Cole & Butler For defendants With T Alexis SC	Alleged proprietary estoppel and constructive trust for easement or irrevocable licence
<i>RJ Turnbull v IR & RJ Turnbull</i> (NSW Supreme Court, discontinued)	Briefed by Cole & Butler For defendants With T Alexis SC	Alleged constructive trust over farm properties arising from representations; claims for equitable compensation
<i>McGrath v Digital4ge Pty Ltd & Ors; Visual Amplifiers Pty Ltd v Alchemy Venture Capital & Ors</i> (NSW Supreme Court, application granted)	Briefed by Murcia Pestell Hillard For third party applicant Unled	Contested application for access to court file
<i>O’Ryan & Anor v Golding & Anor</i> (NSW Supreme Court, settled after mediation)	Briefed by first and second defendants Unled	Alleged oppression in relation corporate proprietor of company title building, trespass, negligence
<i>Pogorzelska v Bechara</i> [2015] NSWSC 92 and 93	Briefed by Westpac Banking Corporation (second	Defence of plaintiff’s application to prevent bank from paying under

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	defendant) <i>Unled</i>	garnishee order made by District Court; costs
<i>Faltas v Faltas</i> (NSW Supreme Court, application granted)	<i>Briefed by Grant Spedding For the plaintiff</i>	Application for possession of residential premises
<i>La Jolla Cove Investors, Inc v GoConnect Ltd</i> [2014] NSWSC 988	<i>Briefed by Allens For the plaintiff Unled</i>	Application to register foreign (US District Court) judgment in NSW Supreme Court
<i>Hyde Park Management Ltd v Bonnefin</i> (NSW Supreme Court)	<i>Briefed by King & Wood Mallesons For the plaintiff</i>	Alleged breach and termination of commercial lease; guarantee and indemnity by lessees
<i>Montague Avoca Pty Ltd v WealthSure Pty Ltd & Anor</i> (NSW Supreme Court, settled)	<i>Briefed by Clyde & Co LLP For WealthSure Pty Ltd</i>	Alleged professional negligence; acted and appeared at interlocutory hearings
<i>Trilogy Funds Management Ltd as RE for Pacific First Mortgage Fund v KPMG</i>	<i>Briefed by Clifford Chance For KPMG</i>	Costs assessment appeal; advised and appeared at interlocutory hearings
<i>YHY Pty Ltd v Vodafone Hutchison Australia Pty Ltd</i> (NSW Supreme Court, settled)	<i>Briefed by Truman Hoyle For Vodafone Pty Ltd With R Foreman</i>	Alleged unlawful termination of contract; Franchising Code of Conduct
<i>Sumiseki Materials Co Ltd v Wambo Coal Pty Ltd</i> (NSW Supreme Court)	<i>Briefed by Allens For the plaintiff</i>	Shareholder's dispute with claims for breach of contract/company constitution, rectification, estoppel, shareholder oppression; briefed to advise and appeared unled at interlocutory hearings
<i>Global Herbs Pty Ltd & Ors v Vassallo</i> (settled after mediation)	<i>Briefed by Allens For the plaintiffs With J Lockhart SC</i>	Alleged misleading and deceptive conduct; breach of trust; unconscionable receipt of money; professional negligence; briefed to advise, amend pleadings and appear on various interlocutory issues and at mediation
<i>Joseph v Segelov, Ernst & Young & Anor</i> (Family Court)	<i>Briefed by King & Wood Mallesons For Ernst & Young</i>	Defence of application for financial orders sought by wife against firm of which husband is a partner; briefed on interlocutory hearings.
<i>Dyason & Anor v Egan & Ors</i> (Federal Court, Brisbane, settled after judgment reserved)	<i>Briefed by Colin Biggers & Paisley For first and second defendants</i>	Application for summary dismissal of certain claims and security for costs
<i>Cole v Quest Software Pty Ltd</i> [2013] FCCA 1160	<i>Briefed by Allens For Quest Software Pty Ltd</i>	Application to set aside subpoena issued to defendant by plaintiff

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Corporations, financial services, market misconduct		
<i>ASIC v Westpac Banking Corporation</i> (Federal Court, reserved)	Briefed by ASIC For ASIC With E Collins SC	Alleged breaches of best interest obligations under Pt 7.7A of <i>Corporations Act 2001</i>
<i>ASIC v The SMSF Club Pty Ltd & RM Capital Pty Ltd</i> , Federal Court, ongoing)	Briefed by ASIC For ASIC With R Higgins SC	Alleged breaches of conflicted remuneration provisions under Pt 7.7A of the <i>Corporations Act 2001</i>
<i>Schlaepfer & Ors v ASIC & Anor</i> (NSW Supreme Court, judgment reserved)	Briefed by Ashurst For ASIC With J Hmelnitsky SC, M Lewis	Alleged market manipulation of ASX and Chi-X (breaches of <i>Corporations Act 2001</i> ss 1041A and 1041B); defamation
<i>ASIC v Whitebox Trading Pty Ltd & Anor (No 7)</i> [2019] FCA 849	Briefed by ASIC For ASIC With J Halley SC, I Ahmed	Alleged market manipulation while index arbitrage trading and breach of directors' duties (breaches of <i>Corporations Act 2001</i> ss 1041A, 1041B, 180); civil penalties
<i>Casaclang & Ors v WealthSure Pty Ltd</i> [2015] FCA 761; (2015) 238 FCR 55	Briefed by Clyde & Co LLP For WealthSure Pty Ltd With M White SC	Financial services; breaches of various provisions of Ch 7 <i>Corporations Act 2001</i> ; breach of statutory duty; professional negligence
<i>Robinson v WealthSure Pty Ltd</i> (NSW Supreme Court, settled)	Briefed by Clyde & Co LLP For WealthSure Pty Ltd Unled	Financial services; alleged breaches of various provisions of Ch 7 <i>Corporations Act 2001</i> ; licensee's statutory liability for authorised representative's conduct; professional negligence; misleading and deceptive conduct; statutory warranty re fitness of services
<i>In the matter of Nexus Energy Limited (subject to DOCA)</i> [2014] NSWSC 1914; [2014] NSWSC 1910; [2014] NSWSC 1689	Briefed by Clayton Utz For Administrators of Nexus Energy With E Collins SC, then J Simpkins SC, then K Andronos SC	Application by company's administrators under <i>Corporations Act 2001</i> s 444GA for leave (opposed by shareholder group) to transfer all company's shares to secured creditor under a DOCA
<i>Hastie Group Ltd (In Liq) & Ors v Bourne & Ors</i> [2017] NSWSC 709 (settled after successful application to strike out pleading and dismiss certain claims)	Briefed by Clyde & Co LLP For 10 th defendant Unled	Alleged breaches of statutory directors' duties and duties of care and skill; successful strike-out application and partial dismissal application
<i>The MCF Group Pty Ltd v GJB Legal Pty Ltd</i> [2019] NSWSC 333	Briefed by G Spedding For plaintiff Unled	Application to set aside statutory demand, offsetting claims

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<i>Syn Dynamics Australia Pty Ltd v Mining Corporate Pty Ltd</i> (NSW Supreme Court, settled)	Briefed by Atkinson Legal For Mining Corporate Pty Ltd Unled	Defence of applications to set aside statutory demands
ASIC investigations		
ASIC Act s 19 examinations of various officers of a listed company	Briefed by Allens For examinees	Appearing for various officers at examinations re suspected breaches of continuous disclosure obligations.
ASIC Act s 912E(1) notice	Briefed by Allens With B Walker SC For notice recipient	Advising on validity of s 912E notice; drafting application for judicial review relating to notice
Briefs to advise		

Briefed to advise on various matters such as complex damages issues, contractual and statutory defences to misleading conduct claims, termination of contract, prospects of success, prospects of obtaining summary judgment or separate hearing of issues, joinder of plaintiff's insurers to proceedings, application for Franchising Code of Conduct to particular contracts, applications for statutory easements under *Conveyancing Act 1919* and *Encroachment of Buildings Act 1922*.

Selected matters as a solicitor (2001 – 2011)

Public Transport Ticketing Corporation (PTTC) v Integrated Transit Solutions Ltd, 2008-2011 (NSW Supreme Court; NSW Court of Appeal) – acted for PTTC in major commercial litigation against contractor re contract for construction of electronic ticketing system for public transport in NSW, including interlocutory disputes before Court of Appeal.

Hamod v State of NSW & UBS Australia Limited, 2001-2011 (Federal Court, NSW Supreme Court, NSW Court of Appeal) – acted for UBS in successful defence of intentional tort proceedings and defence of appeal spanning 10 years.

Sumiseki Materials Co Ltd v Wambo Coal Pty Ltd, 2010-2011 (NSW Supreme Court) – acted for plaintiff in proceedings re breach of contract/company constitution, rectification, estoppel, shareholder oppression.

Wells Fargo Bank Northwest NA atf Export-Import Bank of the United States v Victoria Aircraft Leasing Ltd and Republic of Nauru (Victoria Supreme Court) – acted for plaintiff in successful injunction and repossession proceedings relating to a commercial Boeing passenger jet.

Roach v Page (t/as Freehill Hollingdale & Page); Winnote Pty Ltd v Page, 2001-2007 (NSW Supreme Court; NSW Court of Appeal; High Court) – acted for major Australian law firm in successful defence of professional negligence action and appeal proceedings spanning 7 years.

Peterson v Tricom Equities Limited (NSW Supreme Court) – acted for plaintiff in action against his stockbroker and CHESS sponsor seeking orders for transfer of securities. Matter settled.

V8 Supercars Australia Pty Ltd – various commercial disputes.

Various regulatory investigations/proceedings – acted for examinees and respondents for requests for assistance and information in relation to examination notices issued by the Australian Securities and Investments Commission, and for a stockbroking firm in disciplinary proceedings conducted by Australian Securities Exchange.